

# Coloring Points for Bottomless Rectangles

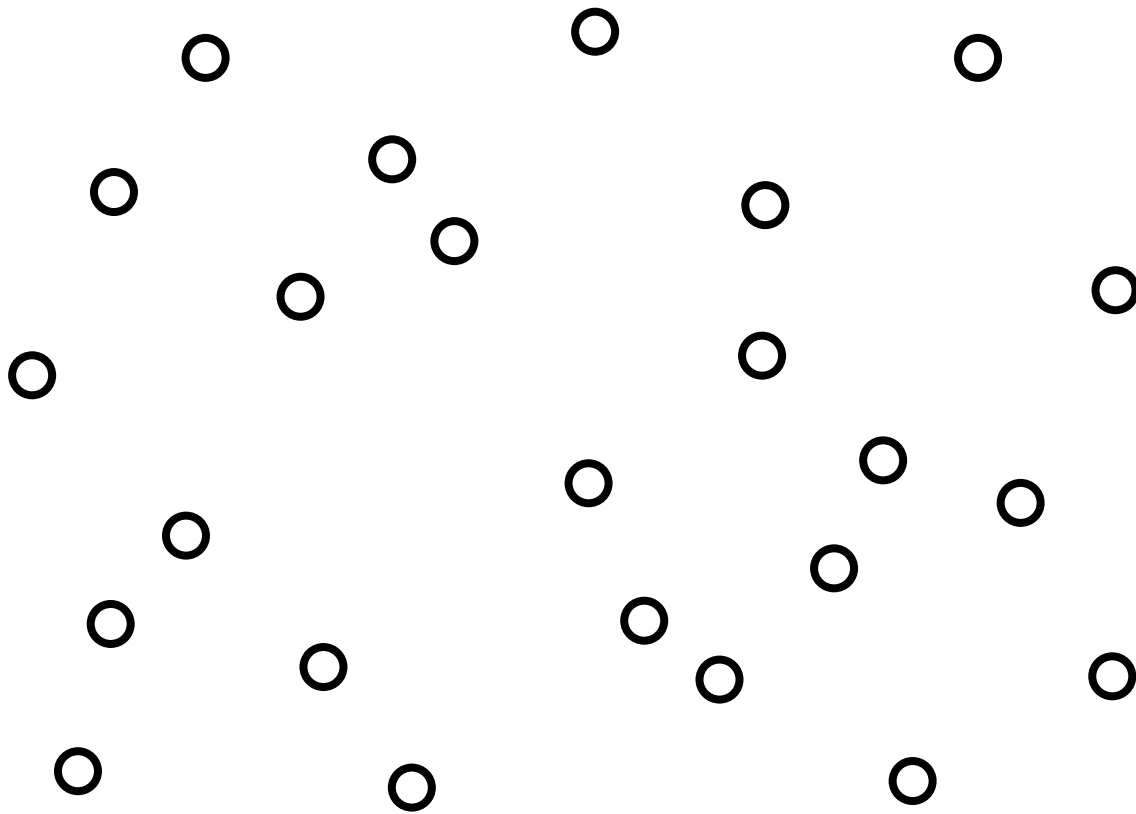
Andrei Asinowski, Jean Cardinal, Nathann Cohen, Sébastien Collette, Thomas Hackl, Michael Hoffmann, Kolja Knauer, Stefan Langerman, Piotr Micek, Günter Rote, Torsten Ueckerdt

Berlin, Brussels, Graz, Kraków, Prague, Zürich

# Problem Statement

GIVEN: point set,  $k = 3$  colors ● ● ●

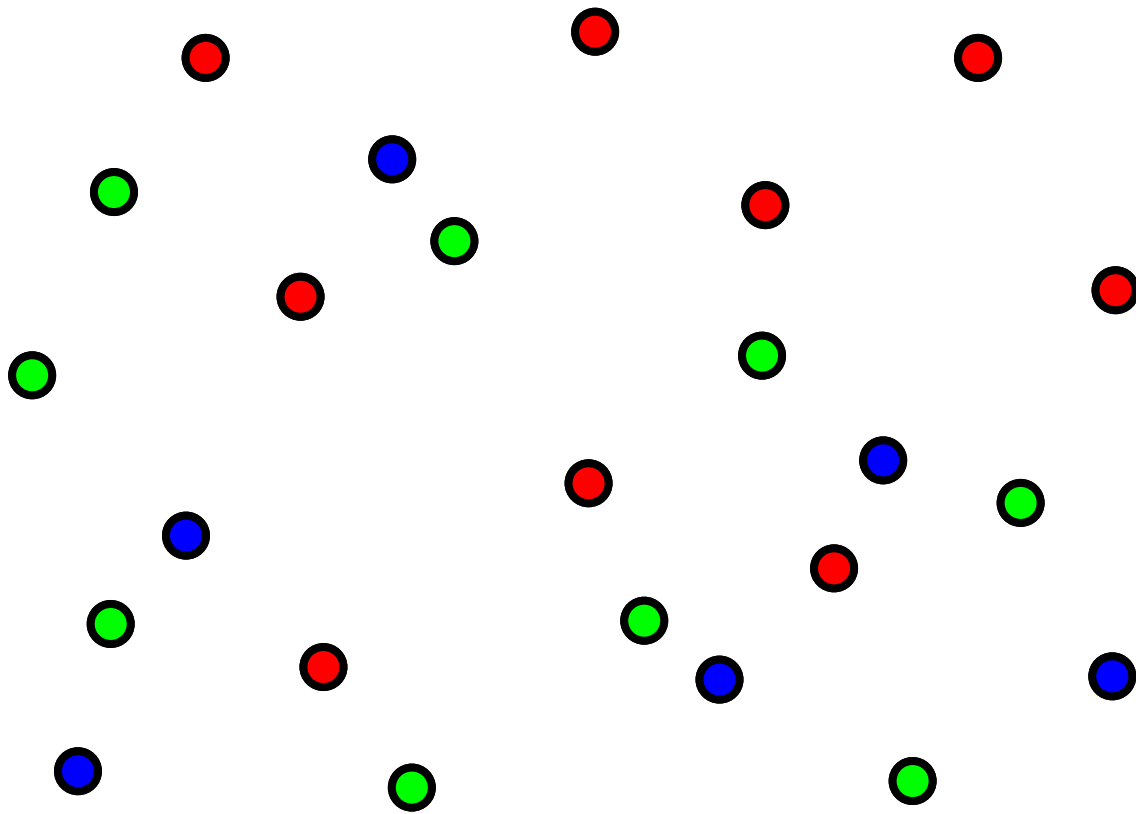
FIND a coloring such that every *bottomless rectangle* with at least  $q = 7$  points contains all  $k$  colors



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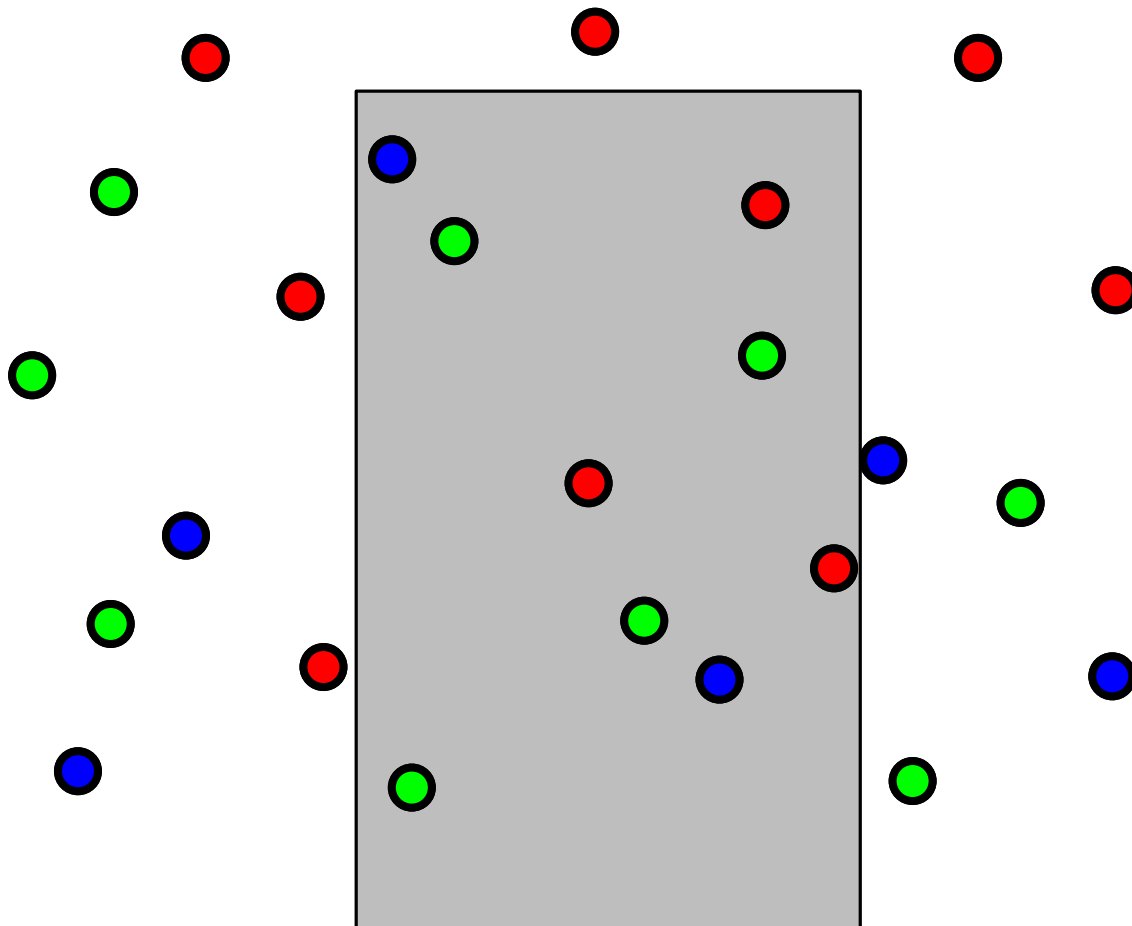
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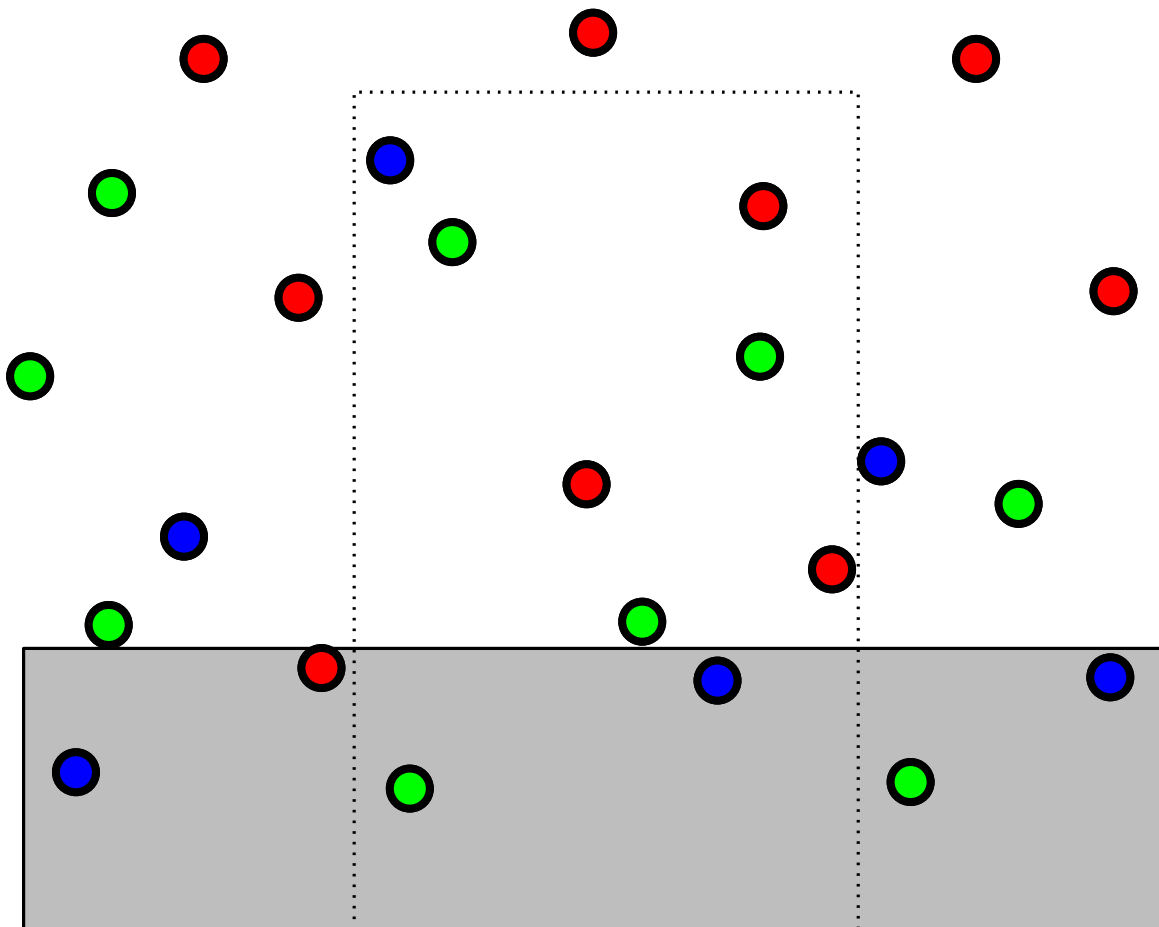
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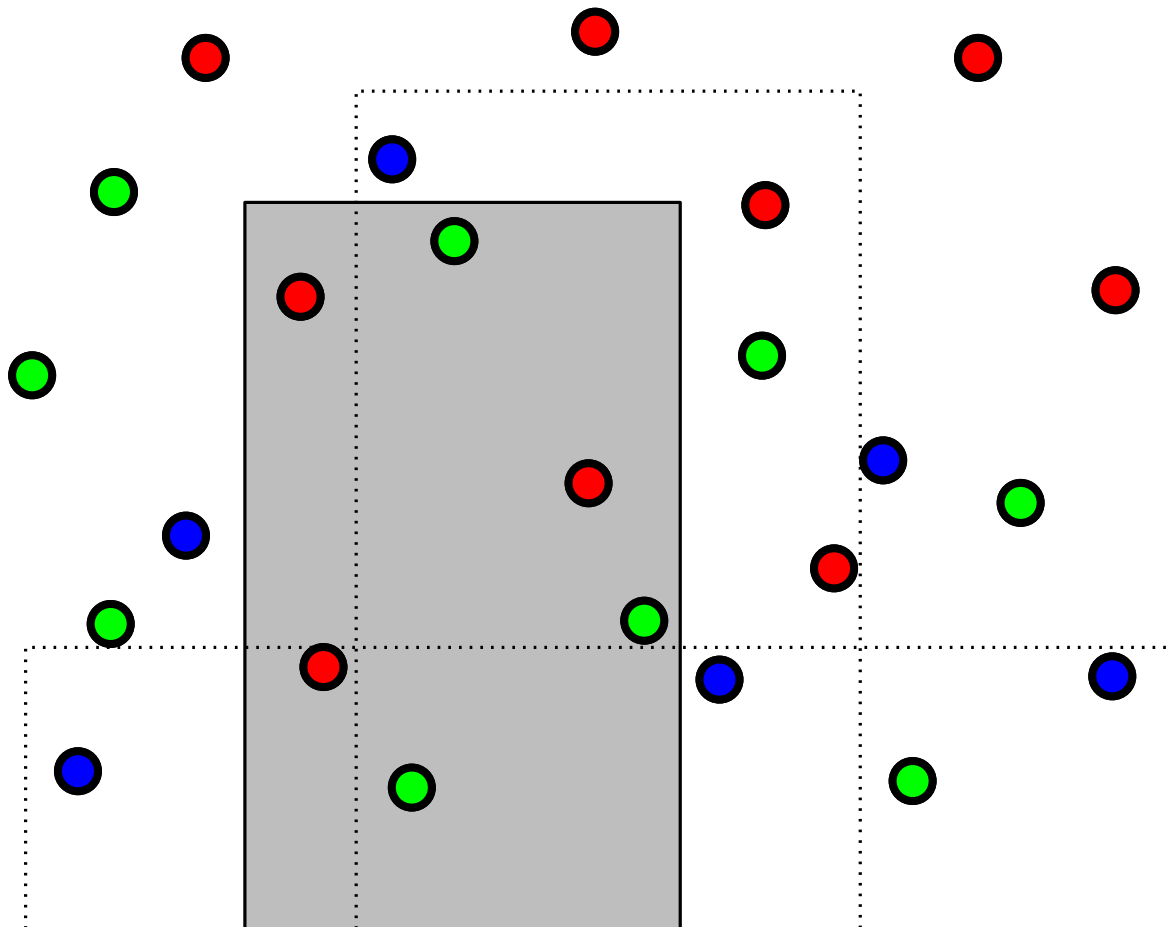
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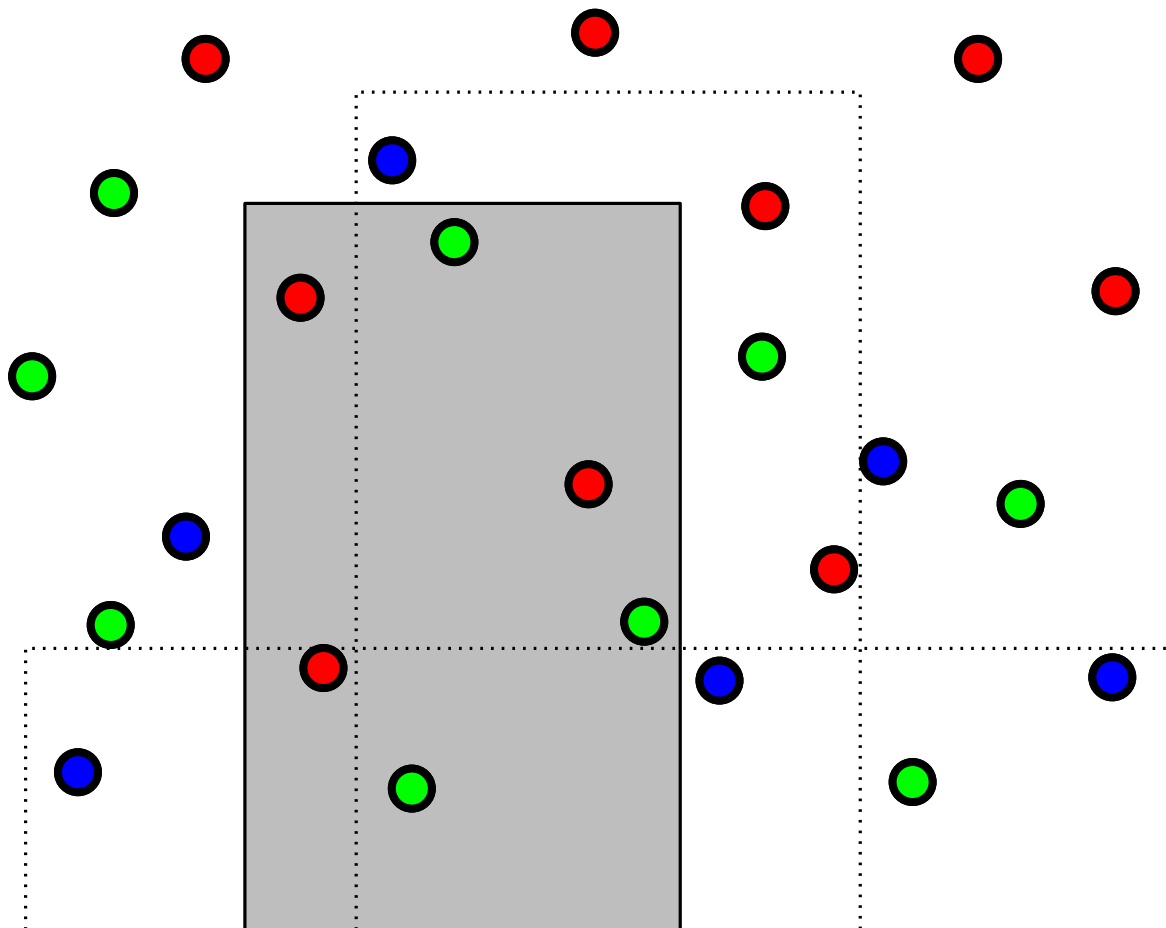


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FIND a coloring such that every *bottomless rectangle* with at least  $q = 7$  points contains all  $k$  colors

$f(k) :=$  the smallest  $q$   
for which such a  
coloring always exists



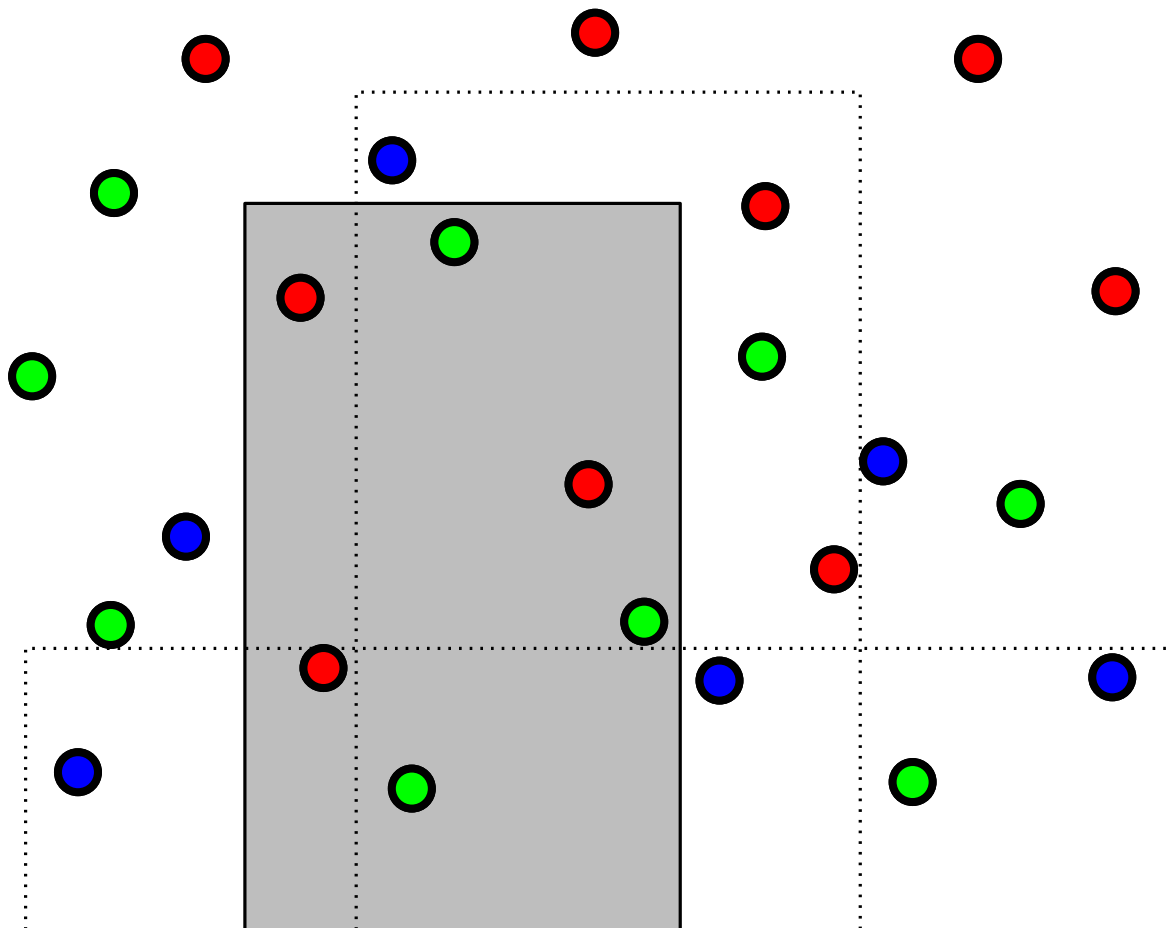
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RESULTS:  
 $1.63k \leq f(k) \leq 3k - 2$





# Other Ranges

Axis-aligned rectangles:  $f(k) = \infty$ , even for  $k = 2$  colors

[ Pach, Tardos 2010 ]

Aligned equilateral triangles:  $f(2) \leq 12$

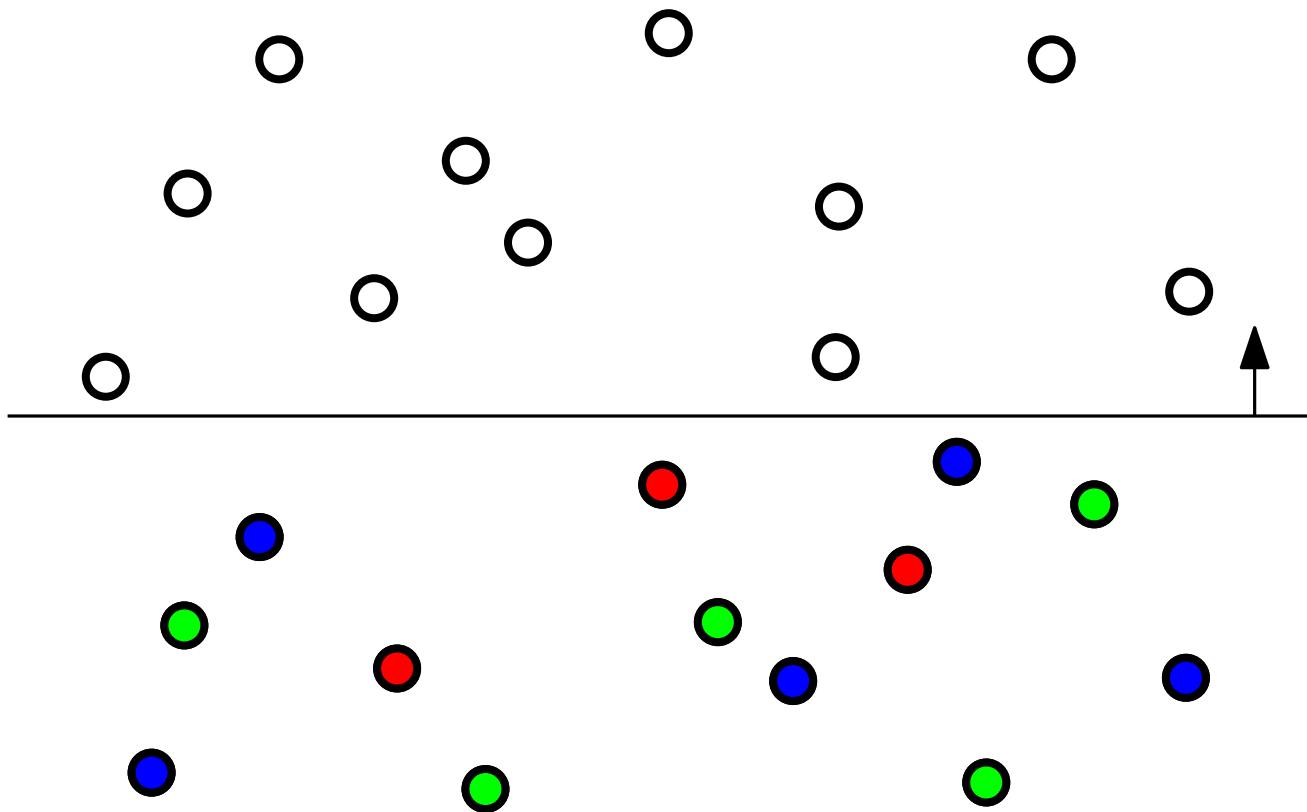
[ Keszegh, Pálvölgyi 2011 ]

OPEN:  $f(k) =$  finite or infinite?

related to cover-decomposability / dual cover-decomposability

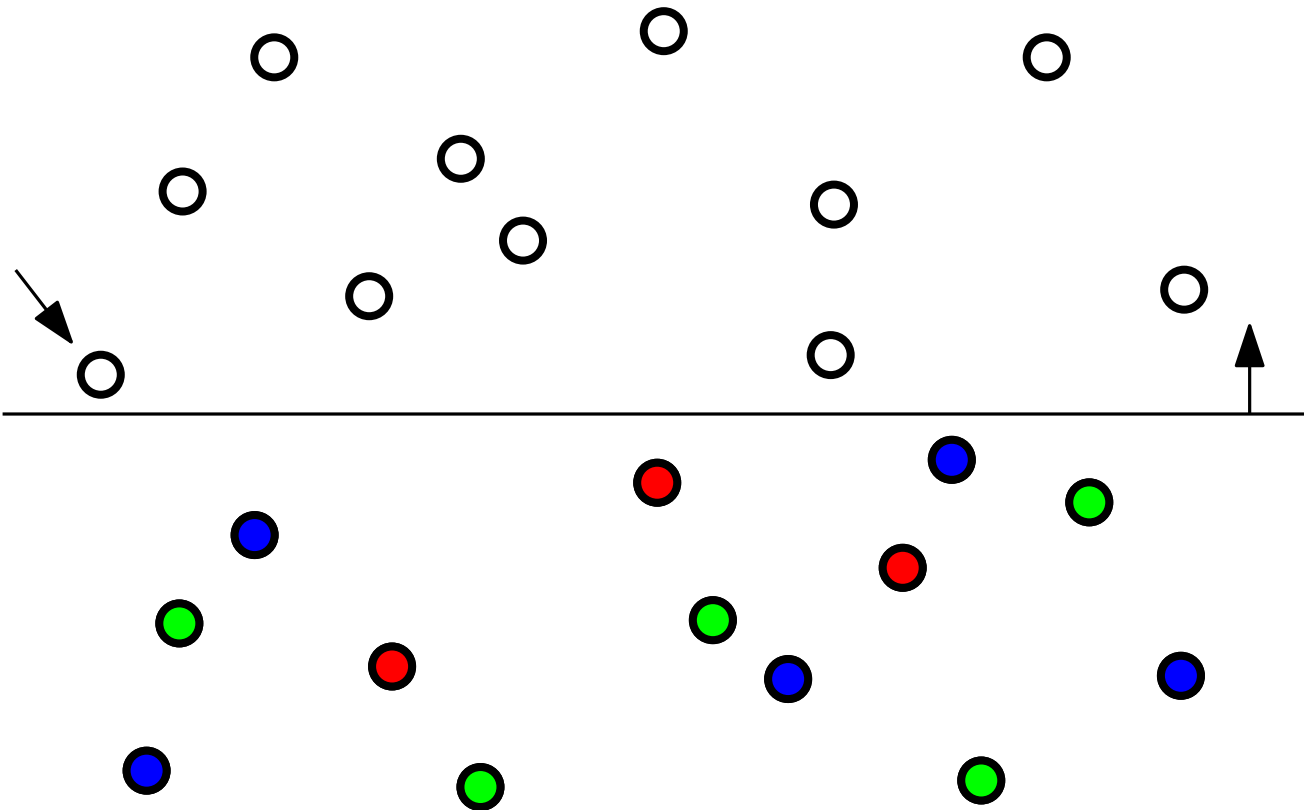
# Bottom-Up Sweep

IDEA: Color the points from bottom to top



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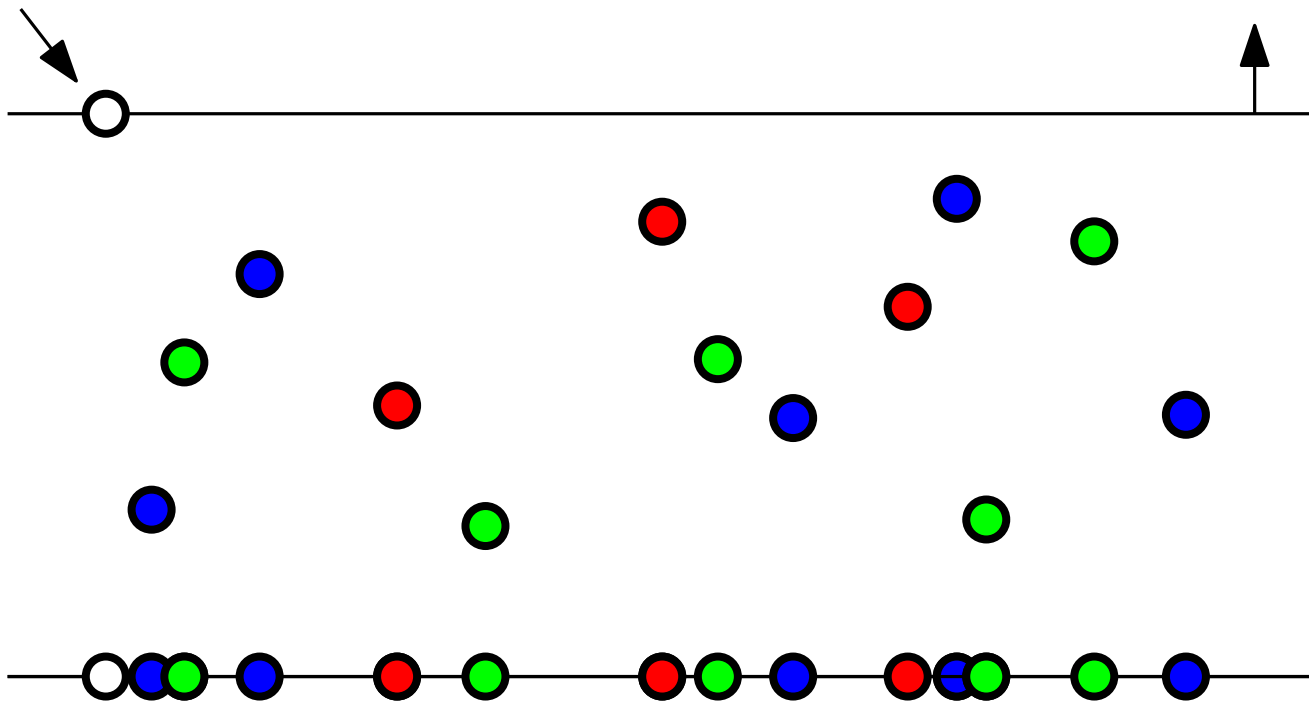


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IDEA: Color the points from bottom to top

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→ FAILURE

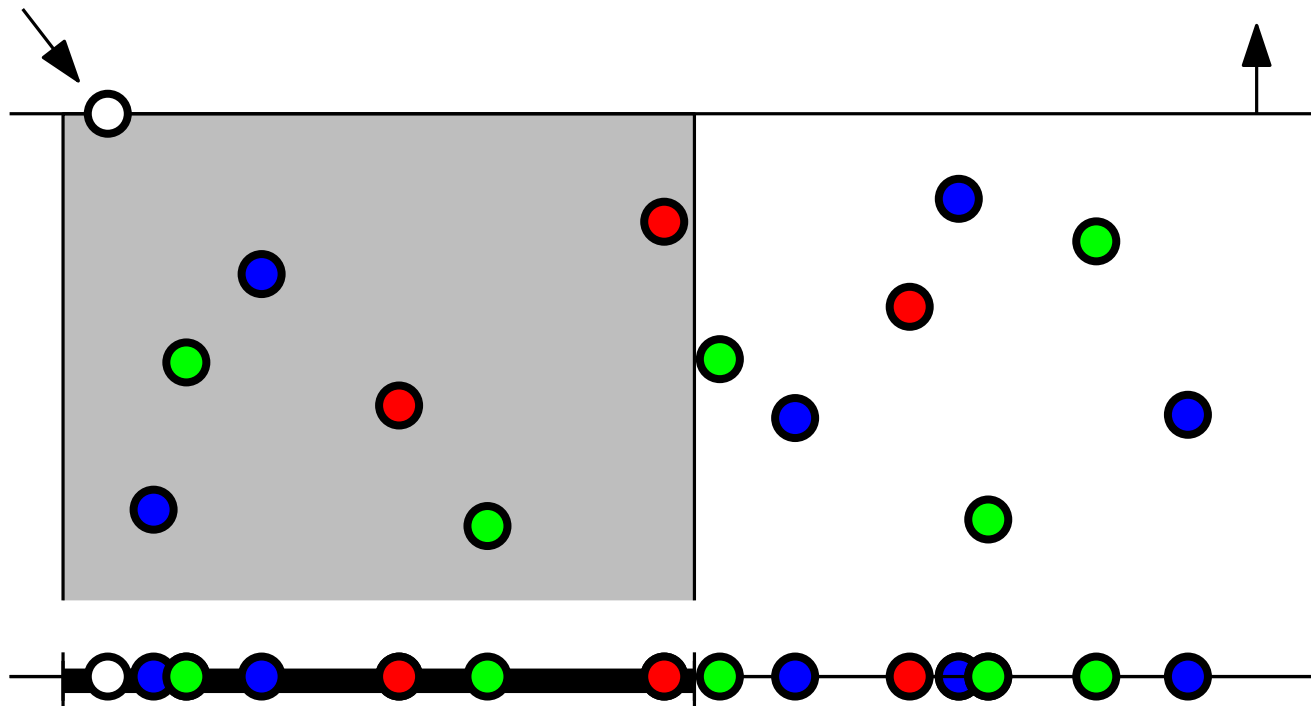


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*legal* coloring:

Every *interval* of  $q$  consecutive points must contain all colors.

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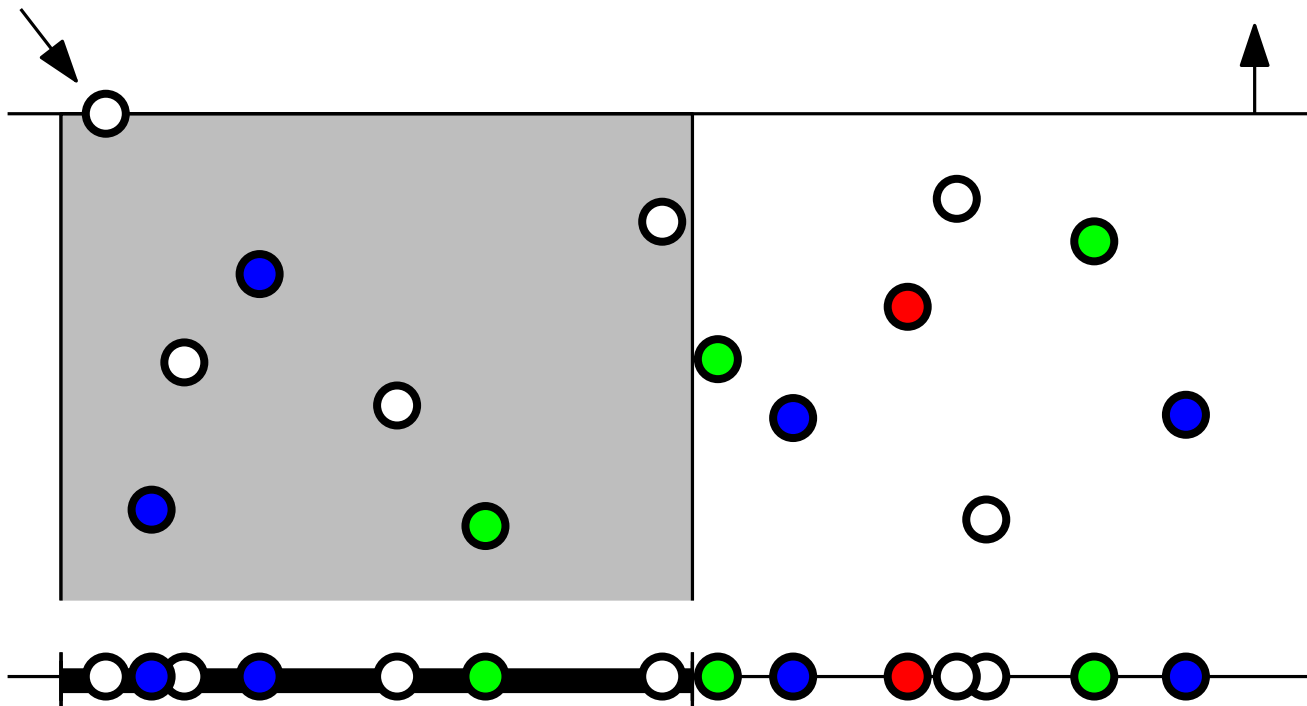
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Points can be colored *any time*, but then the color remains fixed.



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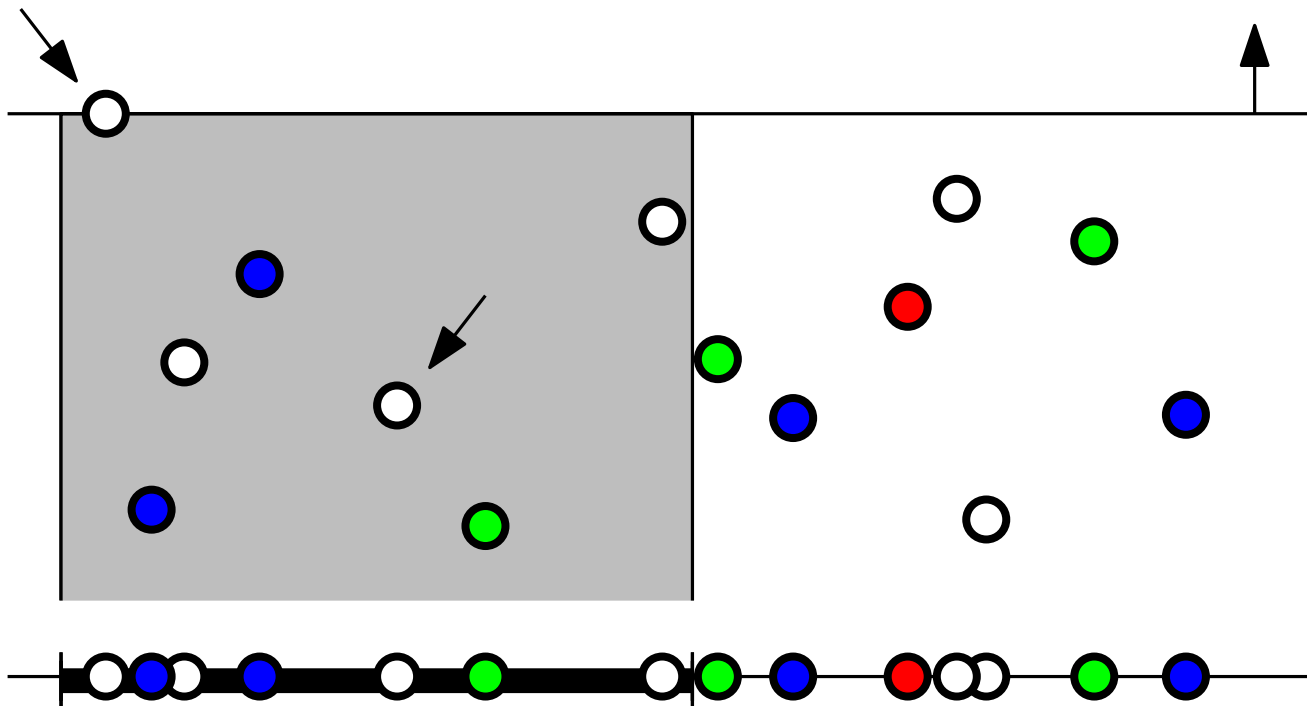
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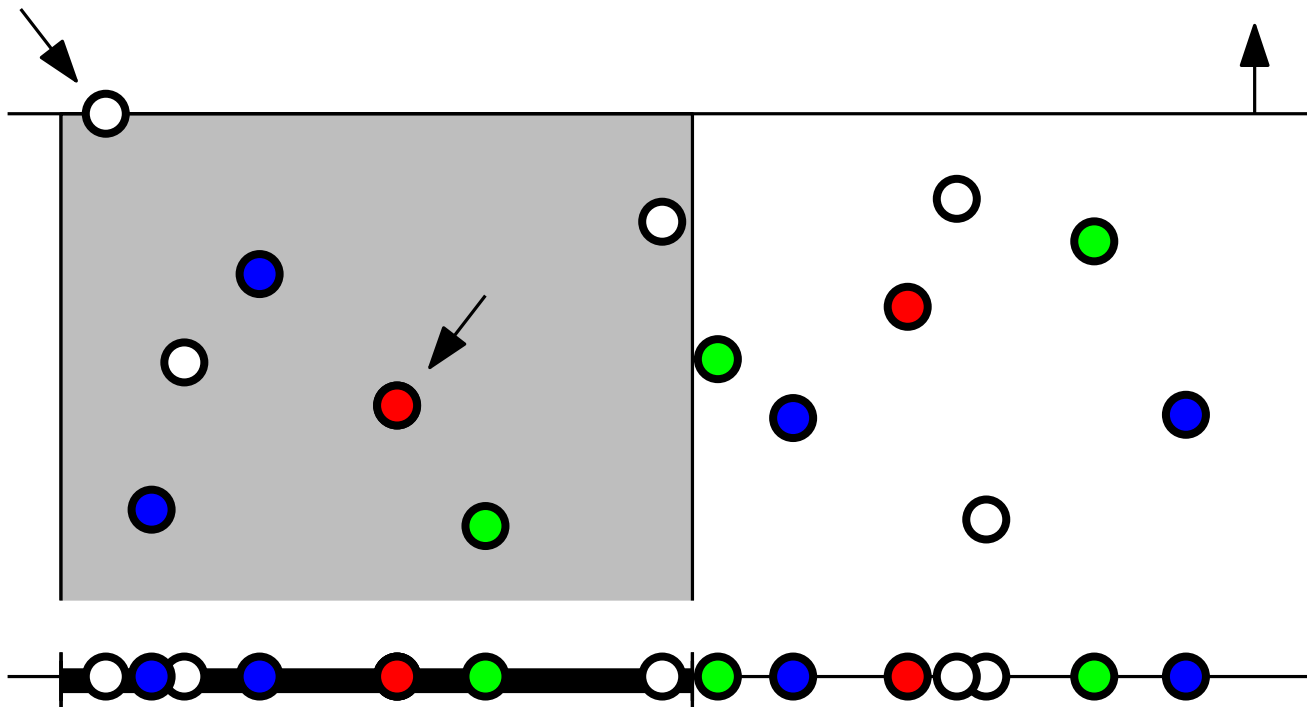
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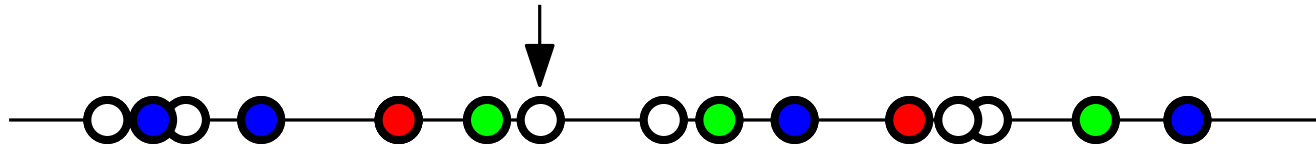
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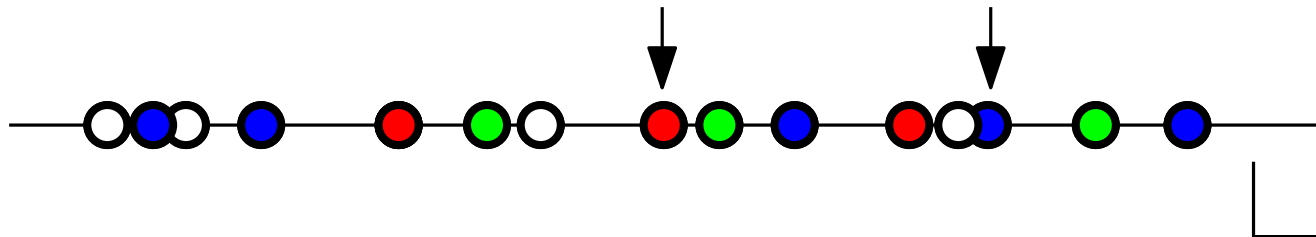
# The Semi-Online Coloring Problem on the Line



A new uncolored point arrives:



Any uncolored points can be colored ...



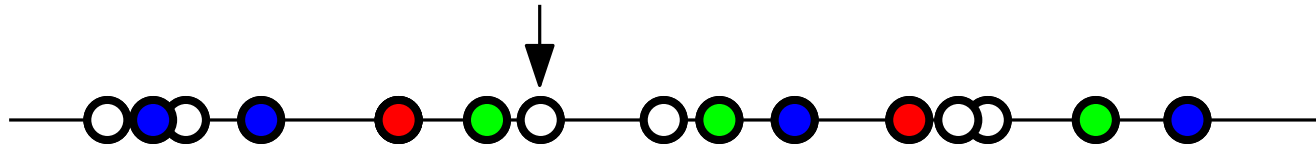
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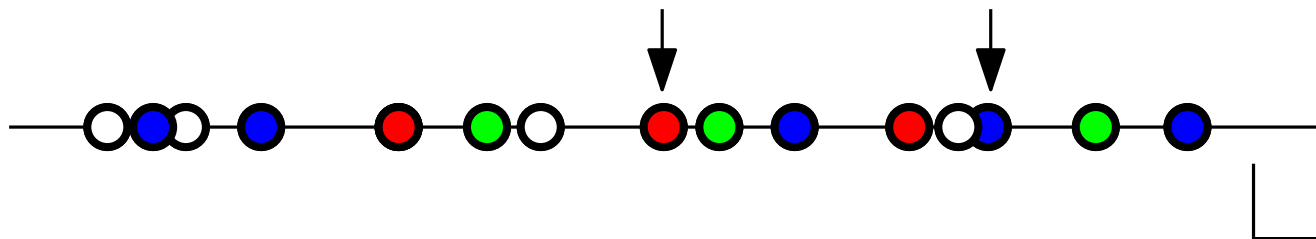
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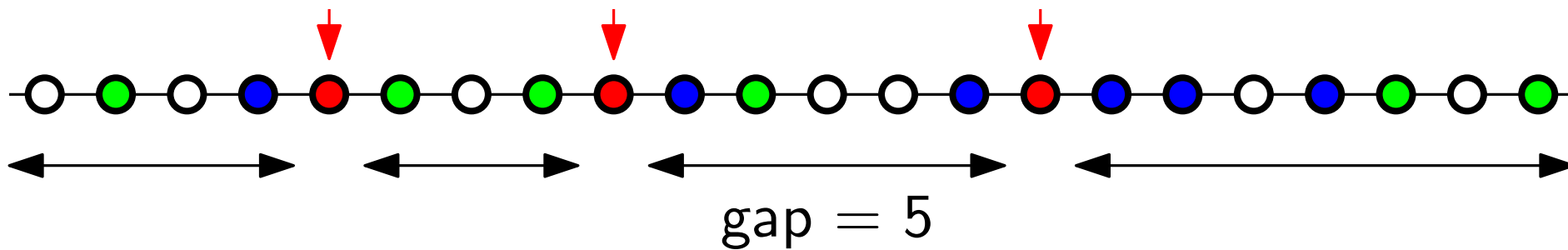
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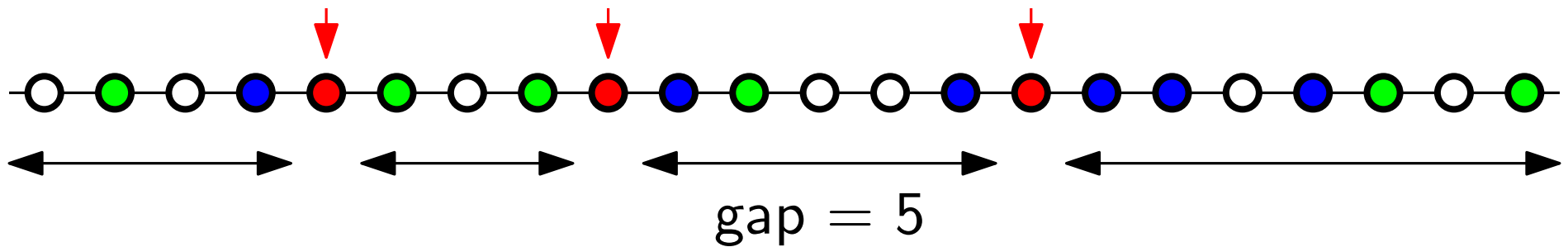
$f'(k) :=$  the smallest  $q$  for which there is a semi-online coloring algorithm

RESULTS:  $f(k) \leq f'(k) \leq 3k - 2$   
 COMPUTER LOWER BOUNDS:  
 $f'(2) = 4, f'(3) = 7, 9 \leq f'(4) \leq 10$

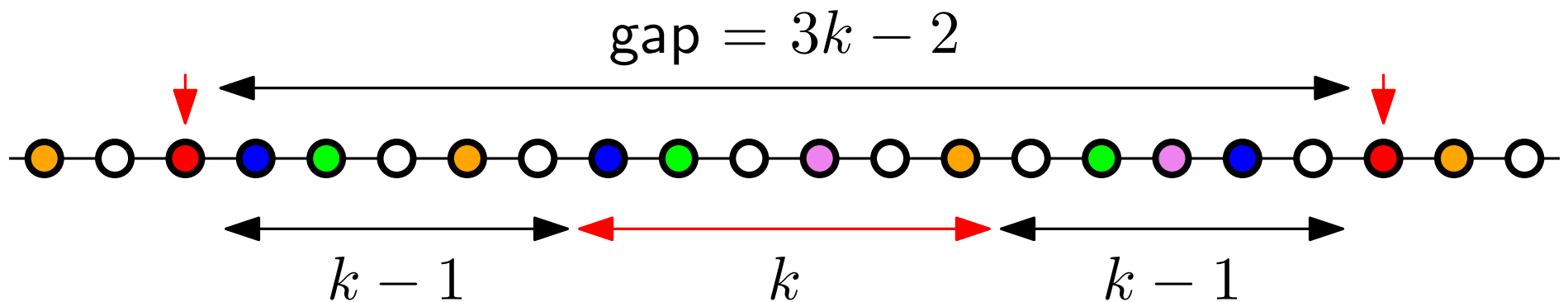
Upper Bound:  $f'(k) \leq 3k - 2$



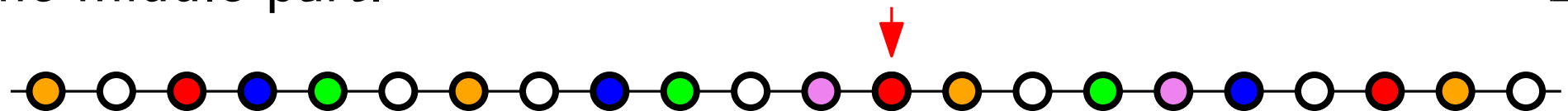
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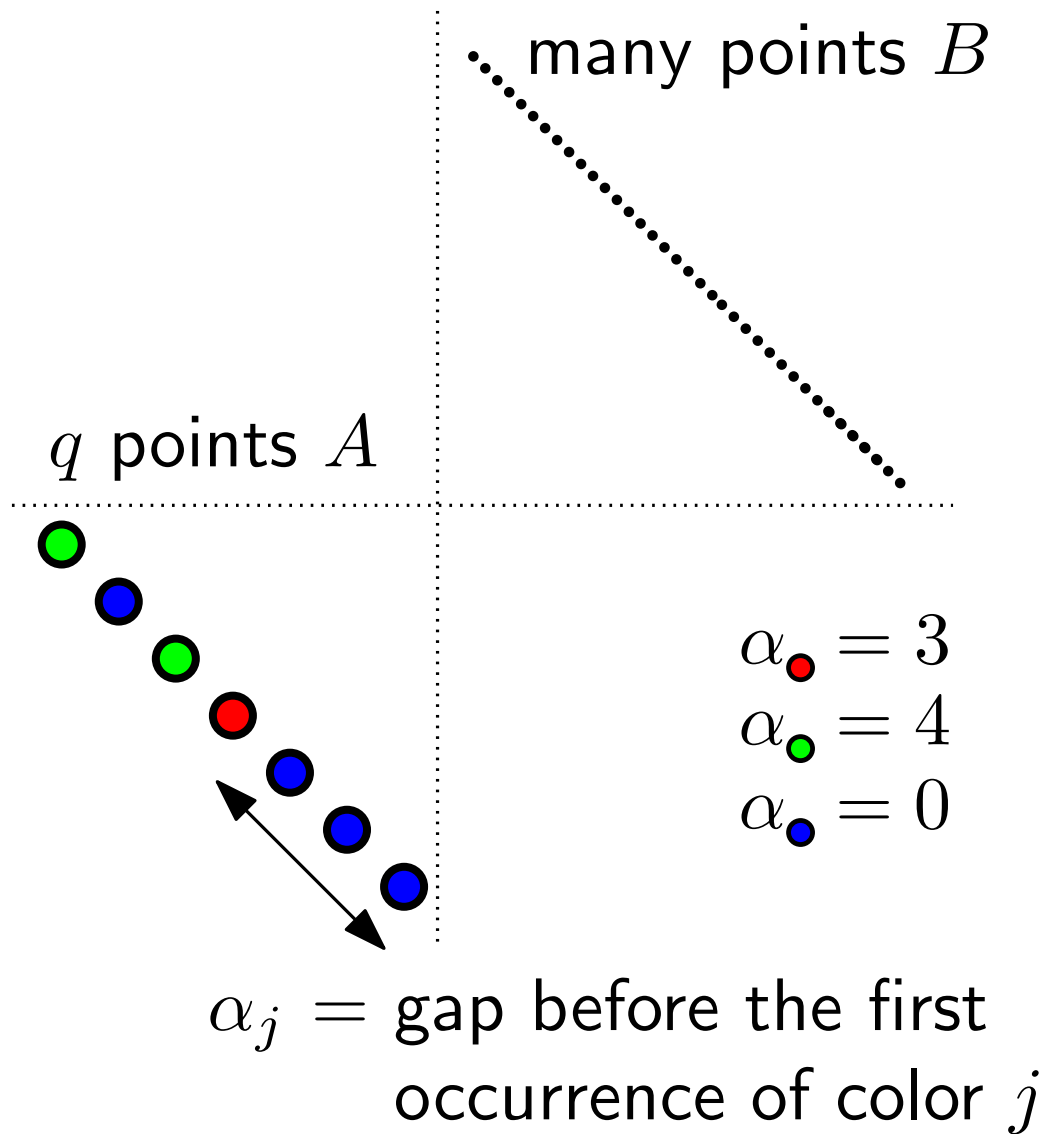
INVARIANT:  $k - 1 \leq \text{gap} \leq 3k - 3$  for every color



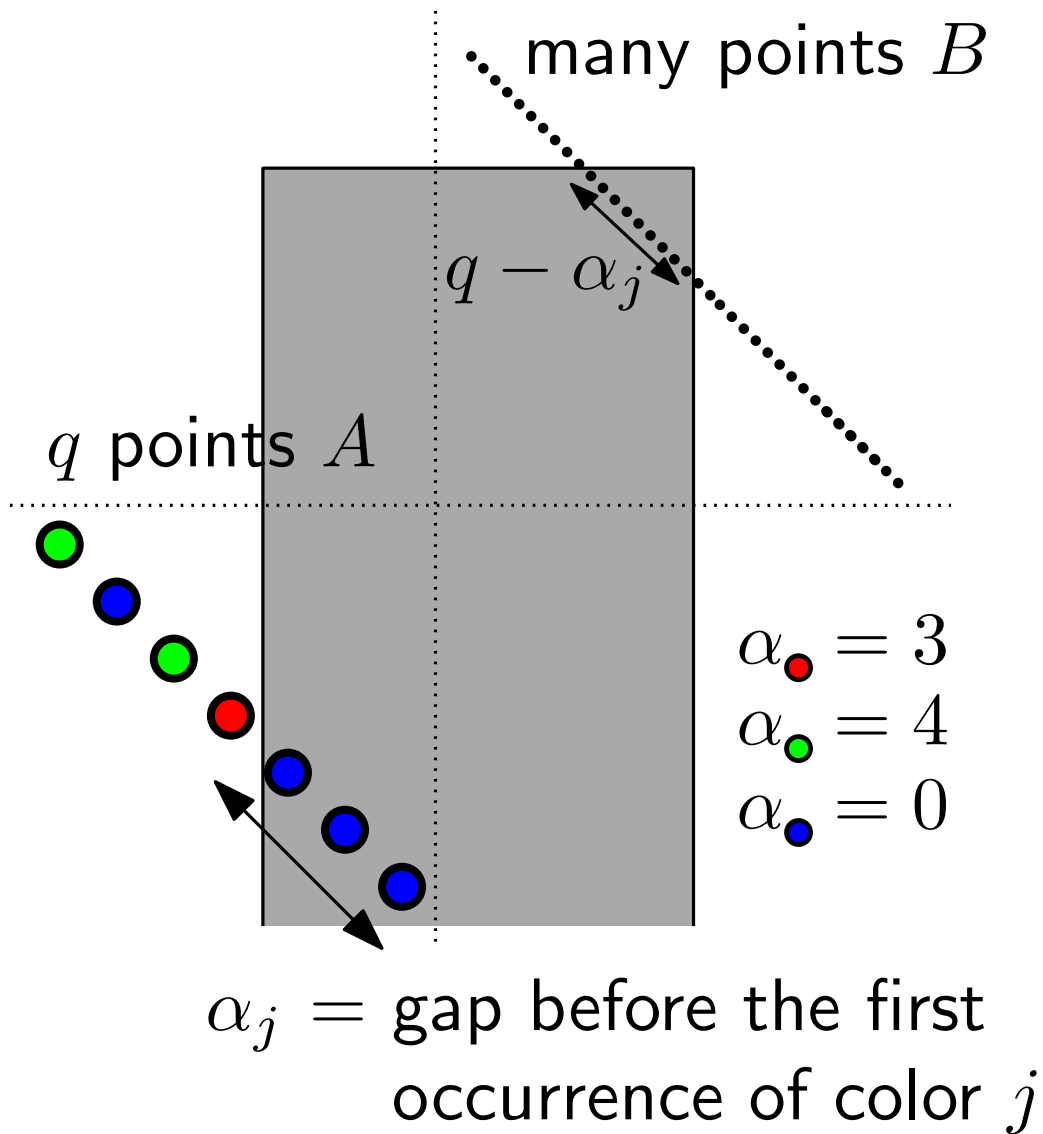
Each of the remaining  $k - 1$  colors can occur at most once in the middle part. □



(Weaker) Lower Bound:  $f(k) \geq 1.58k$



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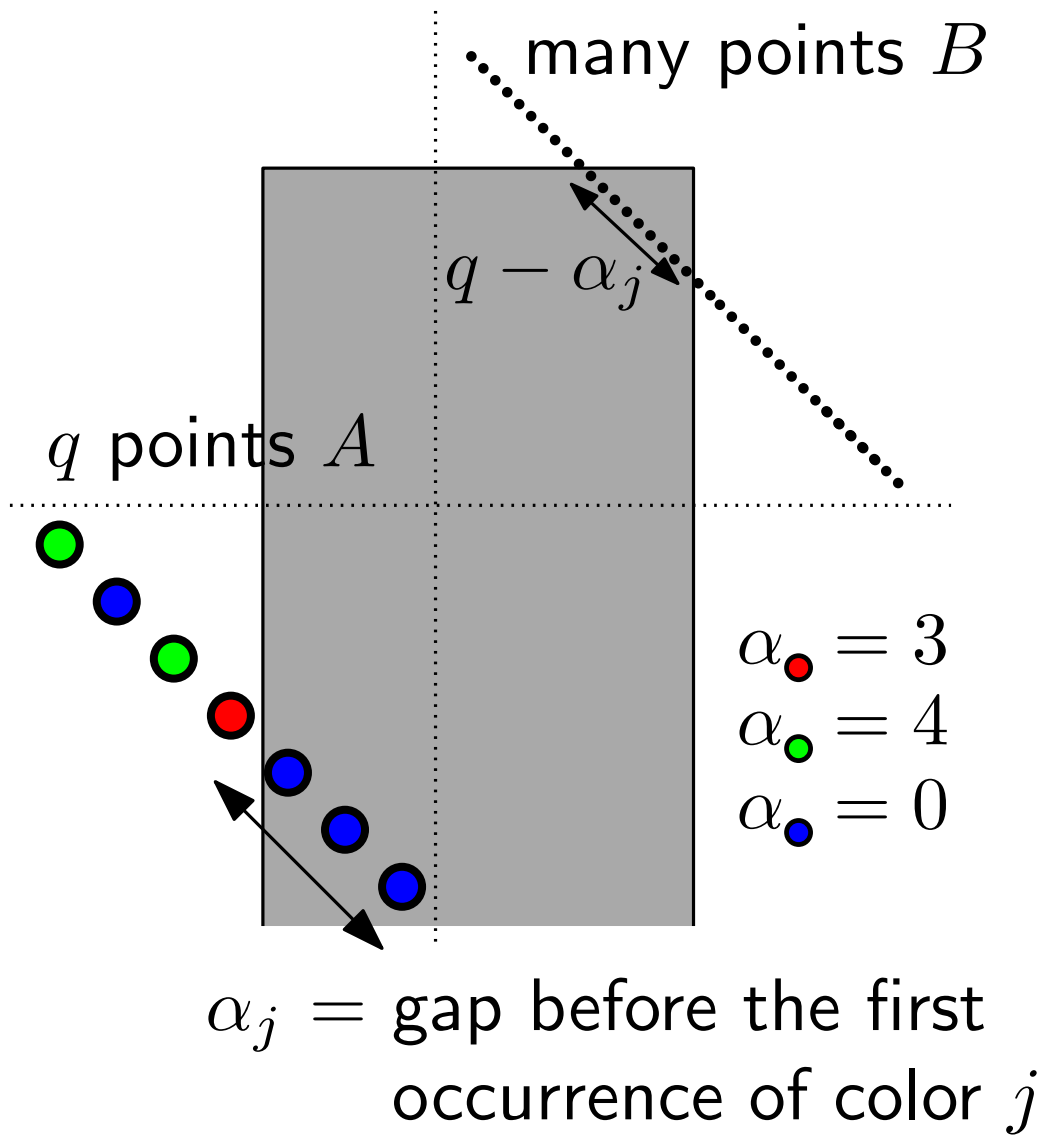
Any  $q - \alpha_j$  consecutive points of  $B$  must contain color  $j$ :

frequency of  $j$  is  $\geq \frac{1}{q - \alpha_j}$

FREQUENCY condition

$$\sum_{j=1}^k \frac{1}{q - \alpha_j} \leq 1$$

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$\alpha_1 \geq 0$ , w.l.o.g.

$\alpha_2 \geq 1$ ,

$\alpha_3 \geq 2, \dots$

$$\sum_{j=1}^k \frac{1}{q - j + 1} \leq 1$$

## Lower Bound: $f(k) \geq 1.58k$

$$\sum_{j=1}^k \frac{1}{q-j+1} \leq 1!$$

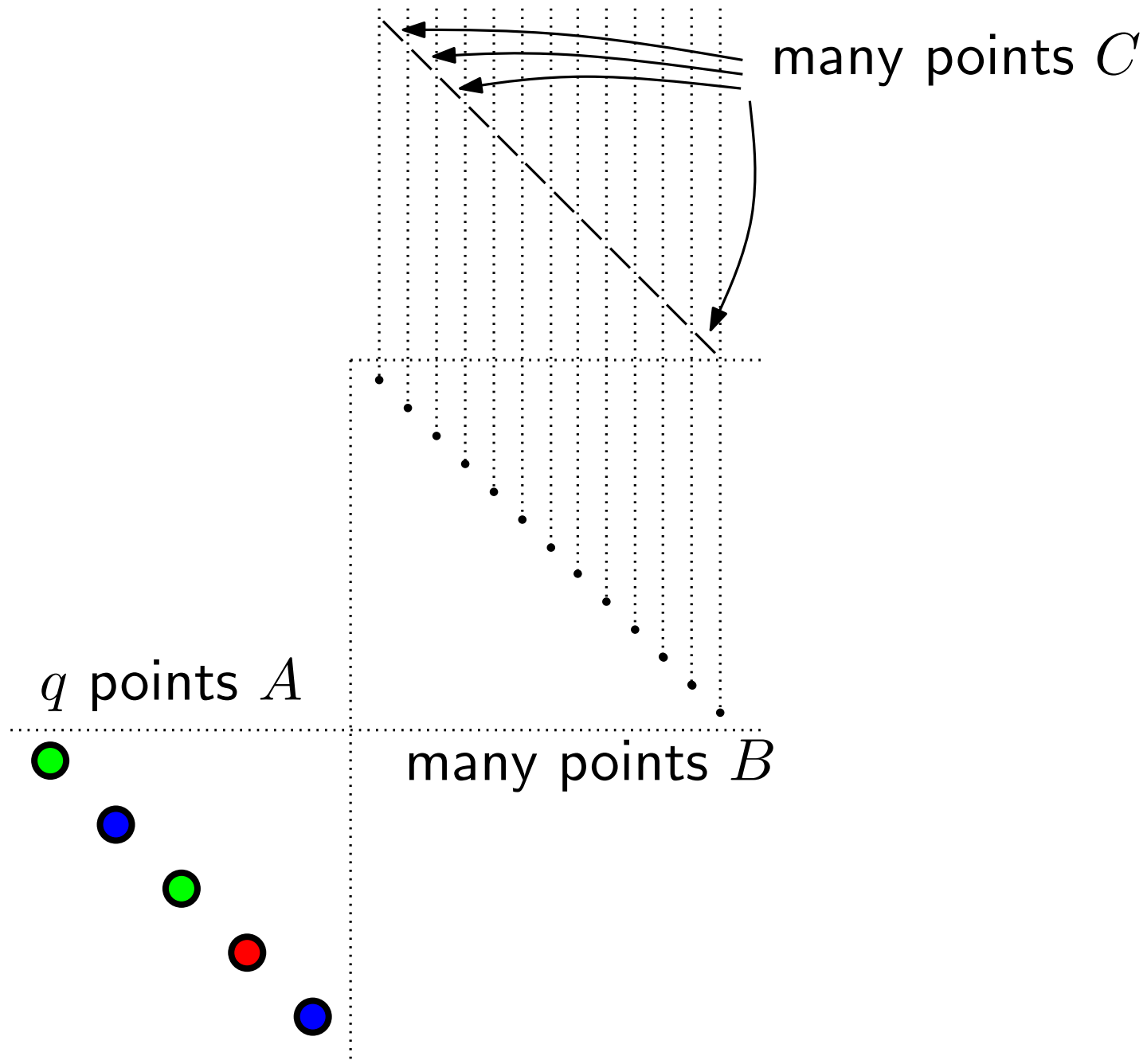
$$\frac{1}{q} + \frac{1}{q-1} + \dots + \frac{1}{q-k+1} \approx \ln q - \ln(q-k) = \ln \frac{q}{q-k} = 1$$

$$\implies q = \frac{e}{e-1} k \approx 1.58k$$

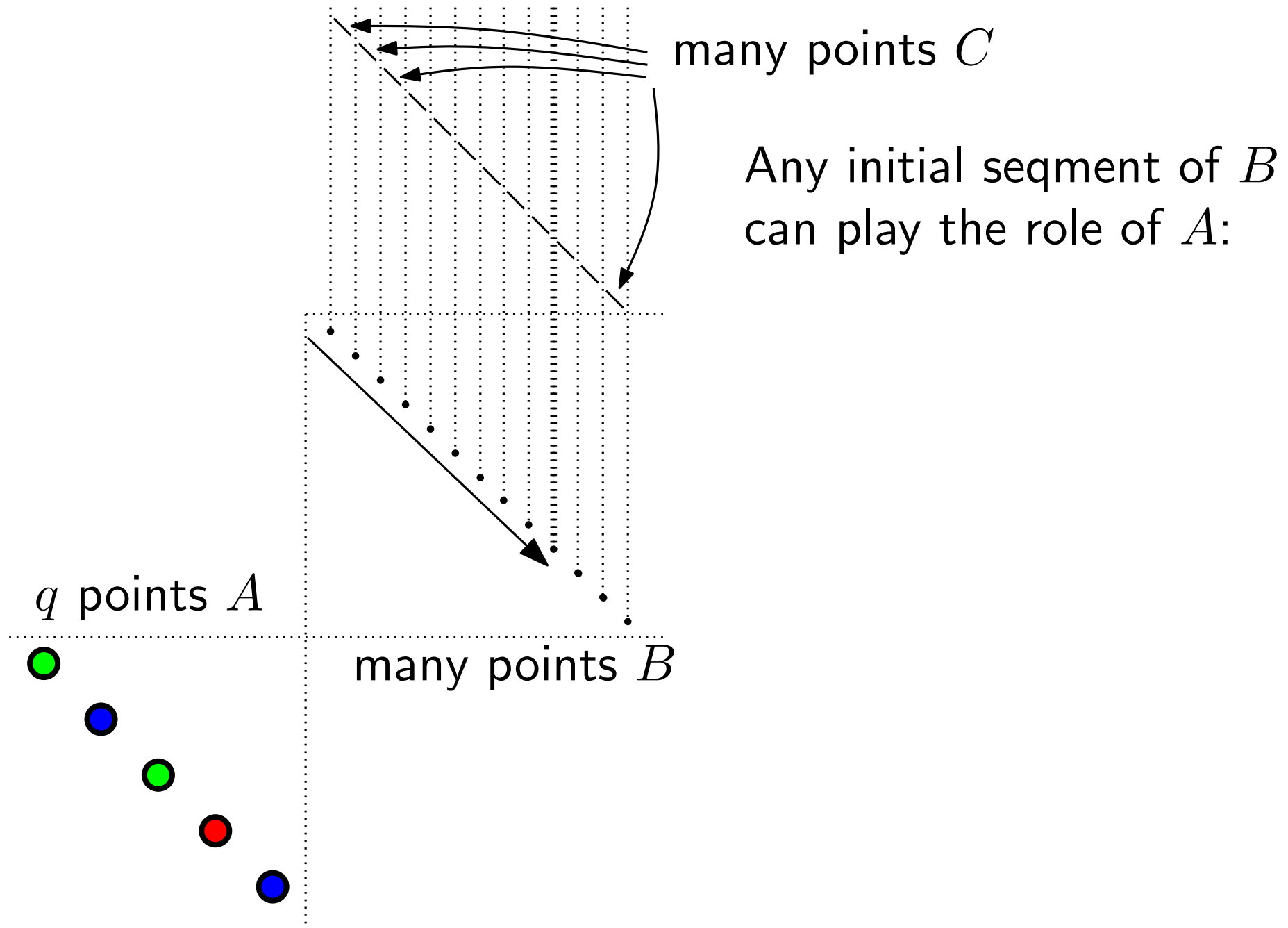




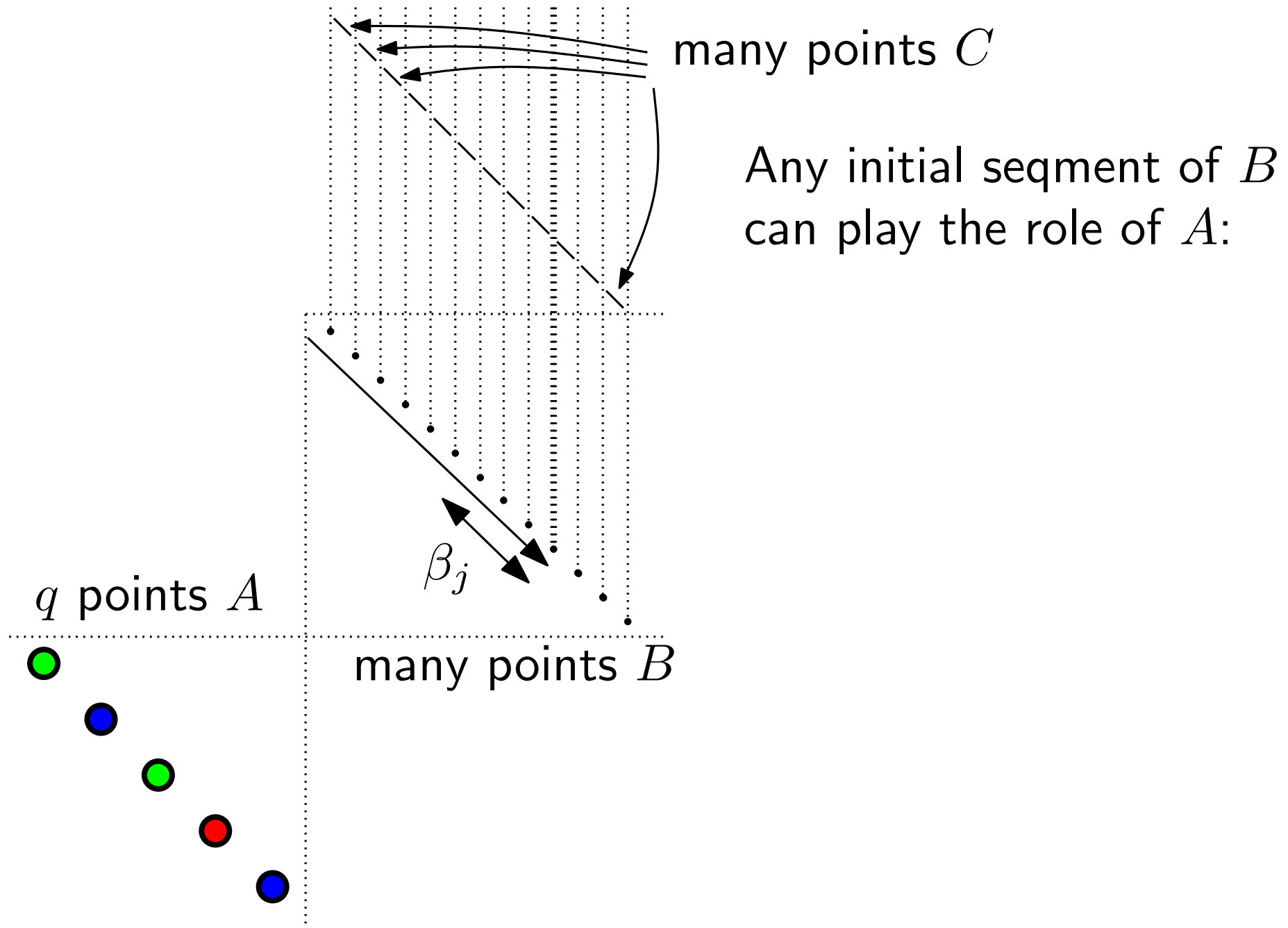
# Three Lines: $f(k) \geq 1.63k$



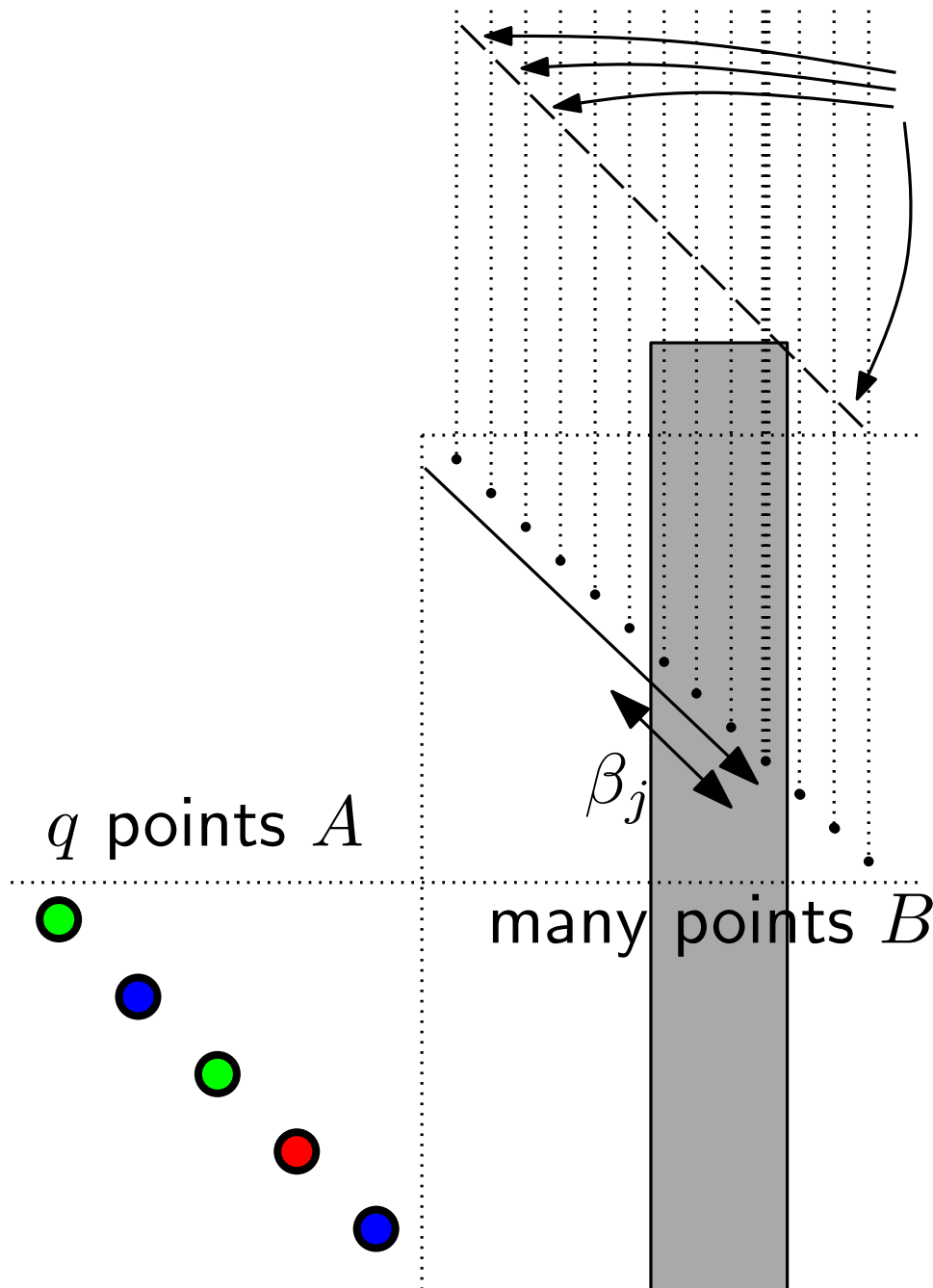
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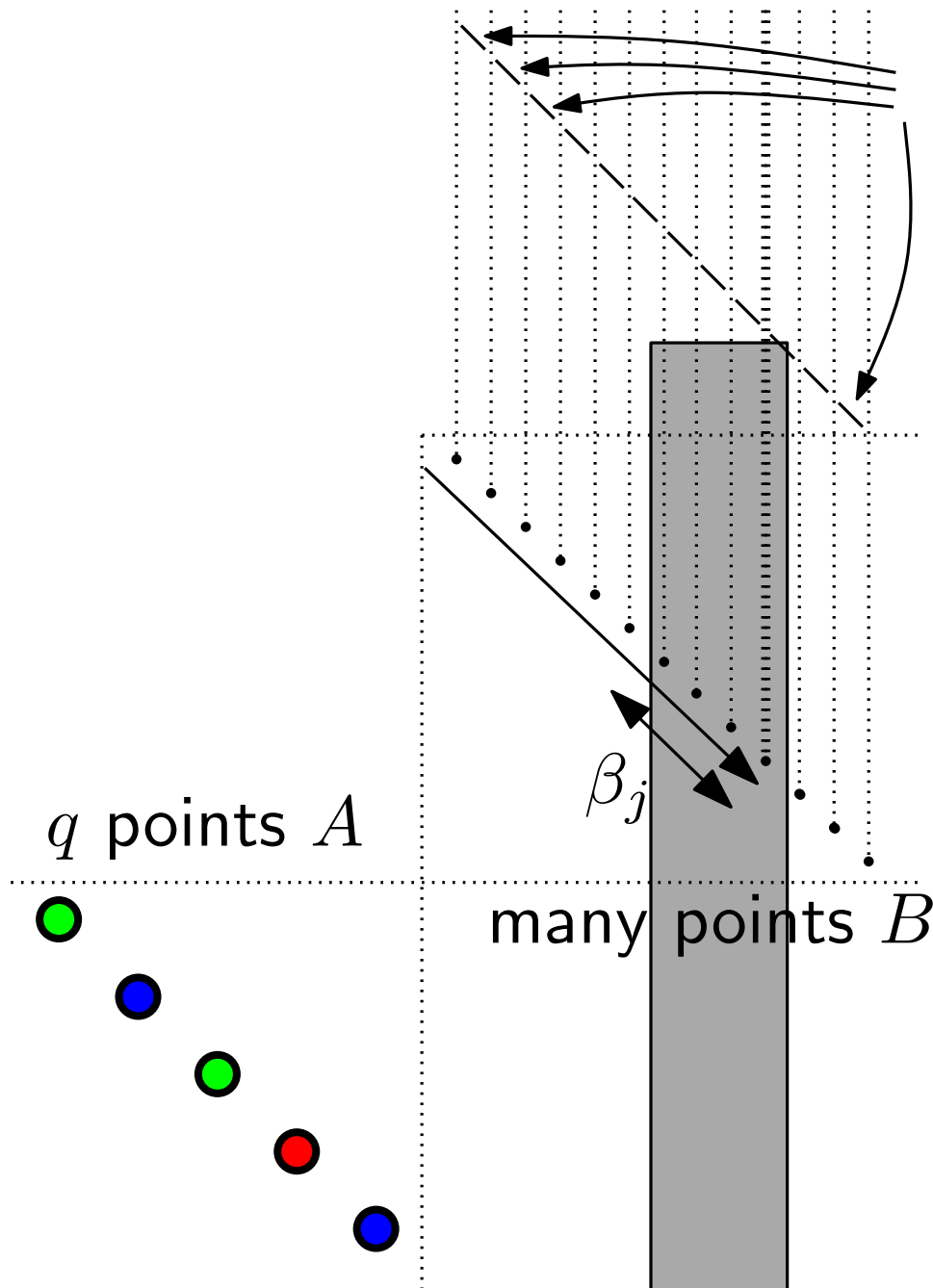
many points  $C$

Any initial segment of  $B$   
can play the role of  $A$ :

$$F(\beta_1, \dots, \beta_k) := \sum_{j=1}^k \frac{1}{q - \beta_j}$$

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We know more about  $\beta_j$   
than about  $\alpha_j$ :

$$\beta_j \leq q - (j - 1)$$

We can *pick* an initial  
segment of  $B$ .

# Three Lines: $f(k) \geq 1.63k$

IDEA:

If  $q < 1.63k$ , then the *average* value of  $F(\beta_1, \dots, \beta_k)$  over all initial segments is  $> 1$ .

Any initial segment of  $B$  can play the role of  $A$ :

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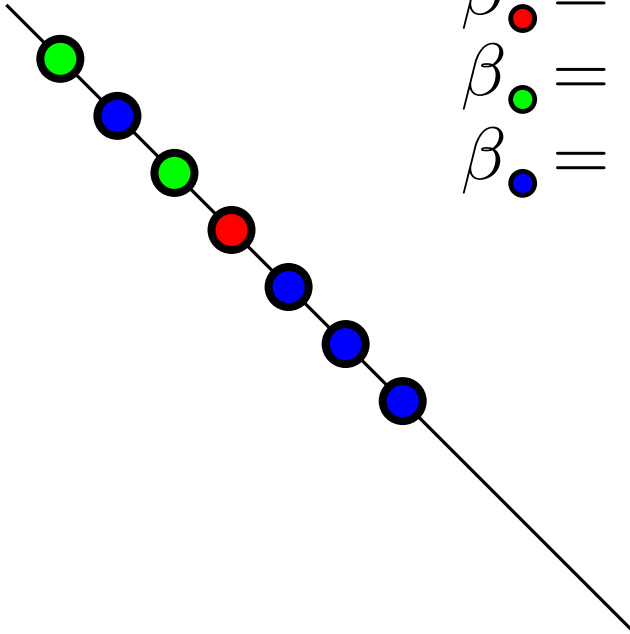
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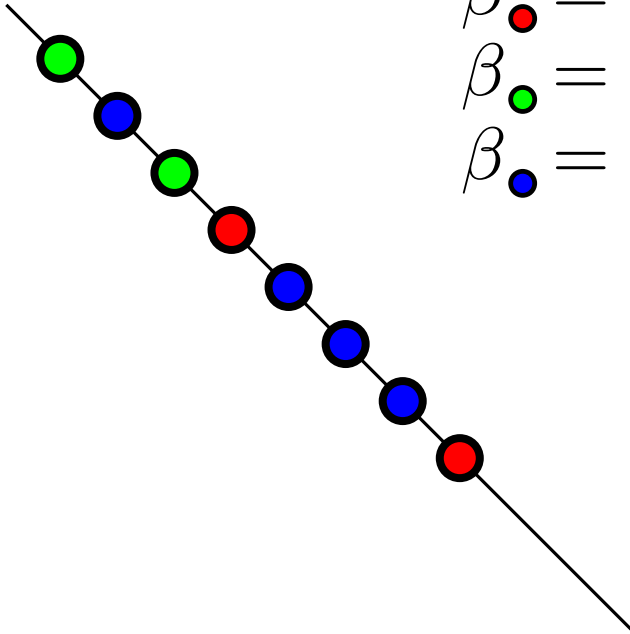
# Evolution of $\beta_j$

$$\begin{aligned}\beta_{\bullet} &= 3 \\ \beta_{\bullet} &= 4 \\ \beta_{\bullet} &= 0\end{aligned}$$



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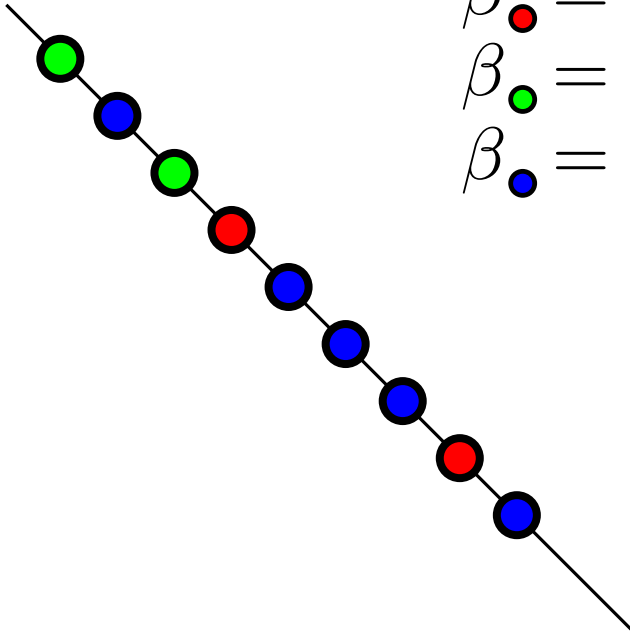
$$\begin{aligned}\beta_{\bullet} &= 3 & 0 \\ \beta_{\bullet} &= 4 & 5 \\ \beta_{\bullet} &= 0 & 1\end{aligned}$$





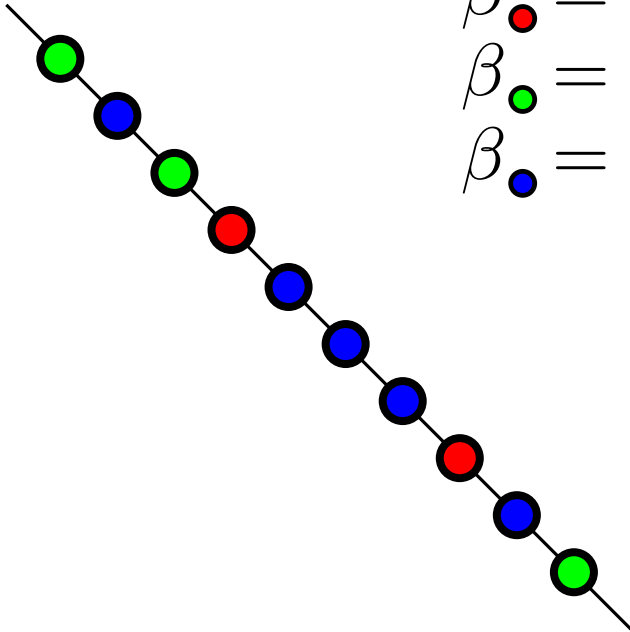
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$$\begin{aligned}\beta_{\bullet} &= 3 & 0 & 1 \\ \beta_{\bullet} &= 4 & 5 & 6 \\ \beta_{\bullet} &= 0 & 1 & 0\end{aligned}$$



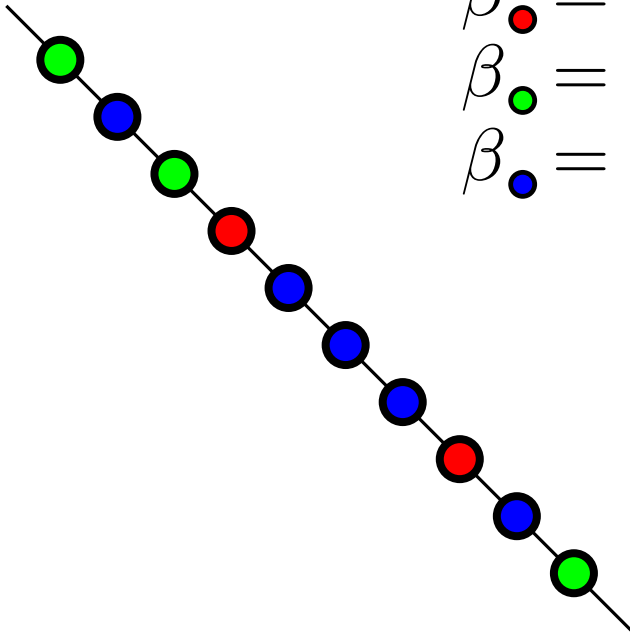
# Evolution of $\beta_j$

$$\begin{array}{l} \beta_{\bullet} = 3 \quad 0 \quad 1 \quad 2 \\ \beta_{\bullet} = 4 \quad 5 \quad 6 \quad 0 \\ \beta_{\bullet} = 0 \quad 1 \quad 0 \quad 1 \end{array}$$



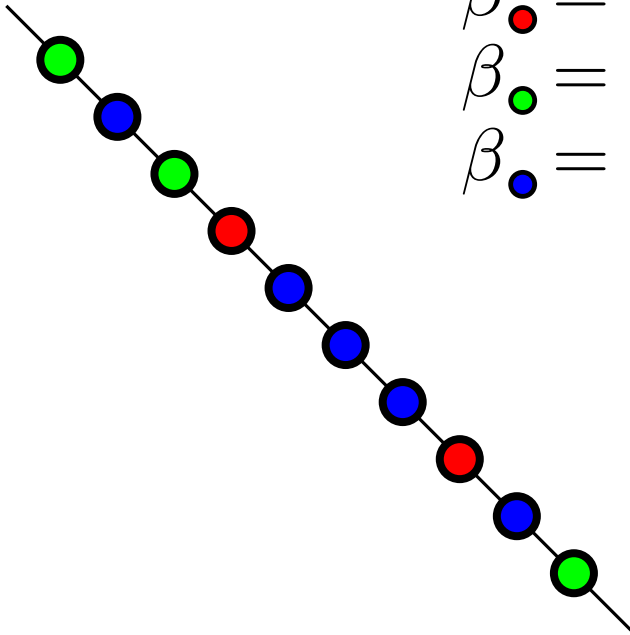
# Evolution of $\beta_j$

$$\begin{aligned} \beta_{\bullet} &= 3 \ 0 \ 1 \ 2 \ 0 \ 1 \ 0 \ 1 \ 2 \ 0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 0 \ 1 \dots \\ \beta_{\bullet} &= 4 \ 5 \ 6 \ 0 \ 1 \ 0 \ 1 \ 2 \ 0 \ 1 \ 2 \ 0 \ 1 \ 2 \ 0 \ 1 \ 0 \dots \\ \beta_{\bullet} &= 0 \ 1 \ 0 \ 1 \ 2 \ 3 \ 4 \ 0 \ 1 \ 2 \ 0 \ 1 \ 0 \ 0 \ 1 \ 2 \ 3 \dots \end{aligned}$$



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$x_{jr}$  := the relative frequency of  $r$   
as a value of  $\beta_j$

$$x_{j0} + x_{j1} + x_{j2} + \dots + x_{j,q-j} = 1$$

$(\beta_j \leq q - j)$

$$x_{jr} \geq x_{j,r+1}$$

$$x_{1r} + x_{2r} + \dots + x_{jr} \leq 1 \quad (\text{all } \beta_j \text{ values are distinct.})$$

The average value of  $F(\beta_1, \dots, \beta_k) = \sum_{j=1}^k \frac{1}{q - \beta_j}$  is

$$\sum_{j=1}^k \sum_{r \geq 0} x_{jr} \frac{1}{q - r} \rightarrow \text{MIN!} \quad \text{If min} > 1, \text{ then } q \text{ is too small.}$$

# A Linear Programming Problem

	$r=0$	$r=1$	$\dots$	$q-k+1$	$\dots$	$q-3$	$q-2$	$q-1$	
color 1:	$x_{10}$	$x_{11}$	$\dots$	$x_{1,q-k+1}$	$\dots$	$x_{1,q-3}$	$x_{1,q-2}$	$x_{1,q-1}$	=
color 2:	$x_{20}$	$x_{21}$	$\dots$	$x_{2,q-k+1}$	$\dots$	$x_{2,q-3}$	$x_{2,q-2}$		=
color 3:	$x_{30}$	$x_{31}$	$\dots$	$x_{3,q-k+1}$	$\dots$	$x_{3,q-3}$			=
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$					
color $k$ :	$x_{k0}$	$x_{k1}$	$\dots$	$x_{k,q-k+1}$					=
	$\leq 1$	$\leq 1$	$\dots$	$\leq 1$	$\dots$	$\leq 1$	$\leq 1$	$\leq 1$	

$x_{jr}$  decreasing in rows

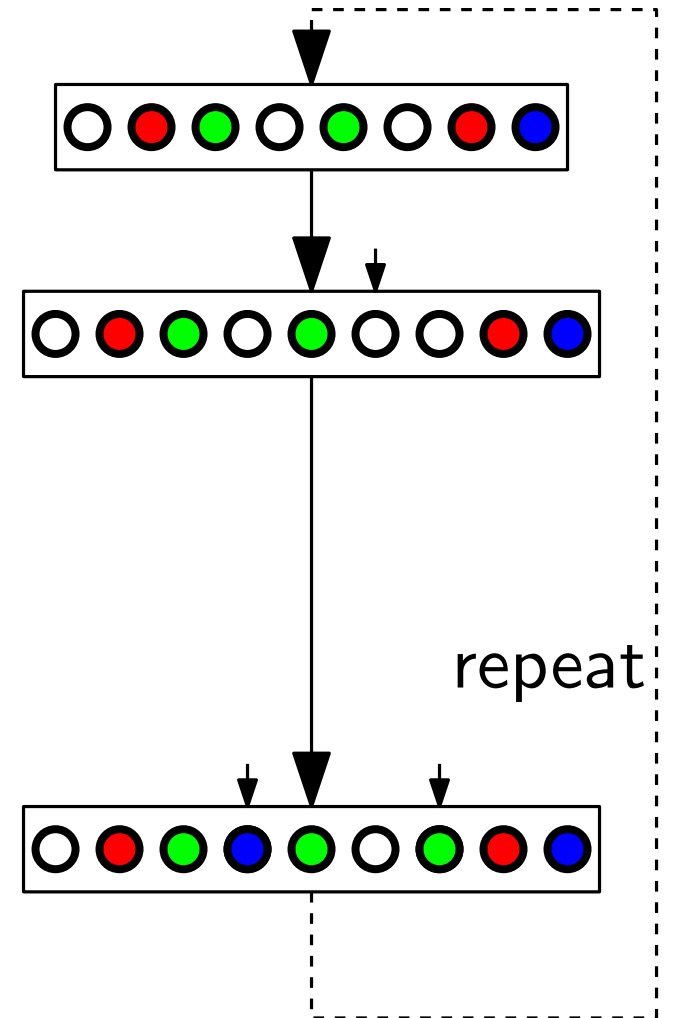
$$\sum_{j=1}^k \sum_{r=0}^{q-j} x_{jr} \frac{1}{q-r} \rightarrow \text{MIN!}$$

The solution can be worked out explicitly. □

# Semi-Online Coloring as a Game

ADVERSARY inserts an uncolored point.

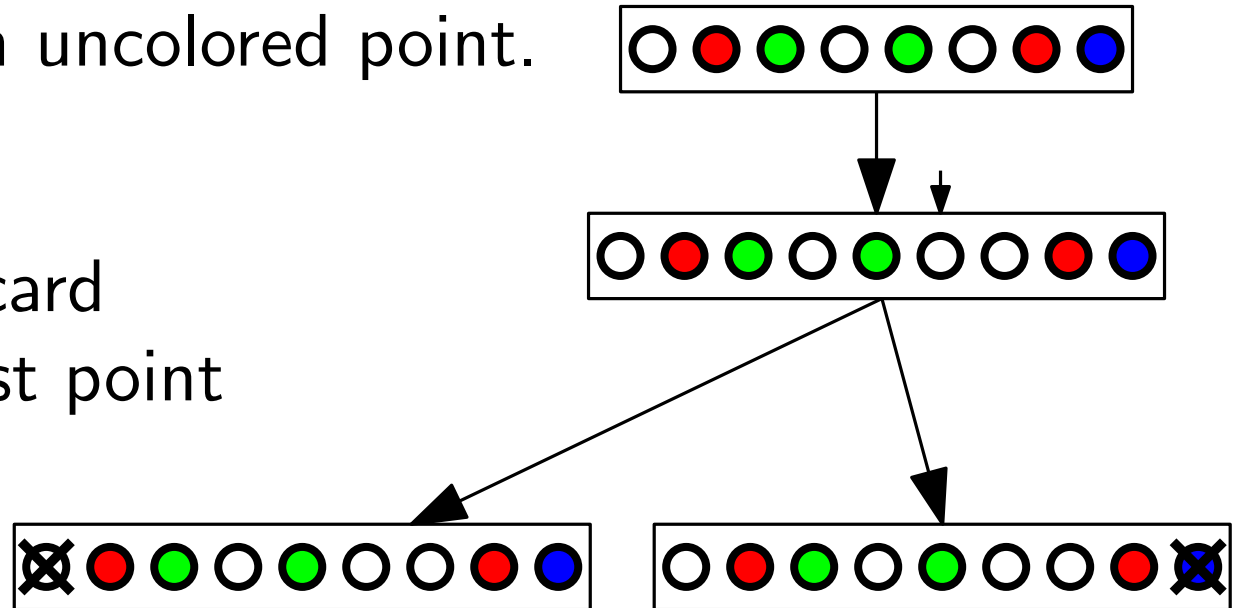
COLORER colors uncolored points,  
*must* make the coloring legal.



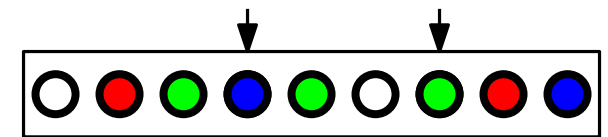
# Semi-Online Coloring as a Game

ADVERSARY inserts an uncolored point.

If more than  $s$  points,  
ADVERSARY must discard  
the leftmost or rightmost point



COLORER colors uncolored points,  
*must* make the coloring legal.



This becomes a game on a *finite* bipartite graph.

ADVERSARY wins for  $k = 2, q = 3, s = 5 \implies f'(2) \geq 4$

$k = 3, q = 6, s = 10 \implies f'(3) \geq 7$

$k = 4, q = 8, s = 11 \implies f'(4) \geq 9$

ADVERSARY loses for  $k = 4, q = 9, s = 13$ . ( $> 10^8$  edges)